



## TÍTULO

**CLASSIFICATION OF OPEN CHROMATIN REGIONS BASED  
ON ATAC-SEQ SIGNAL TOPOGRAPHY**

## AUTOR

**Alberto Ríos Muñoz**

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Dr. D. José Carlos Reyes; Dr. D. José Antonio Guerrero-Martínez  
Universidad Internacional de Andalucía ; Universidad de Sevilla  
*Máster en Análisis de datos ómicos y biología de sistemas*  
*Alberto Ríos Muñoz*

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## Classification of open chromatin regions based on ATAC-seq signal topography

1 **Alberto Ríos Muñoz<sup>1</sup>, José Carlos Reyes<sup>2,\*</sup>, José Antonio Guerrero-Martínez<sup>2,\*</sup>**

2 <sup>1</sup>Faculty of Biology, University of Seville, C/Profesor García González 1, 41012 Seville, Spain

3 <sup>2</sup>Centro Andaluz de Biología Molecular y Medicina Regenerativa-CABIMER, Consejo Superior de  
4 Investigaciones Científicas-Universidad de Sevilla-Universidad Pablo de Olavide (CSIC-USE-UPO),  
5 Avenida Americo Vespucio 24, 41092, Seville, Spain

6 **\*Correspondence:**

7 José Antonio Guerrero-Martínez

8 [jose.guerrero@cabimer.es](mailto:jose.guerrero@cabimer.es)

9 Jose Carlos Reyes

10 [jose.reyes@cabimer.es](mailto:jose.reyes@cabimer.es)

11 **Keywords:** ATAC-seq analysis, Peak shape clustering, Predictive model.

12 **Abstract**

13 Chromatin accessibility is key for the regulation of DNA expression and studies about it help to map  
14 the different transcriptional landscapes the cell can have under determined circumstances. The ATAC-  
15 seq assay employs the transposase Tn5 to identify regions of accessible chromatin, however, the proper  
16 software or pipelines to analyze the ATAC-seq data are still very scarce. Here we show that peak-shape  
17 based clustering and analysis developed for ChIP-seq data is also valid for ATAC-seq datasets. Our  
18 study provided information about how clusters showed different distribution of promoter and enhancer  
19 regions as well as distinctive signatures of histone marks and transcription factors associated to motifs.  
20 We also developed a prediction model to specify how peak shape can be useful for determining DNA  
21 elements' nature. These results show how peak shape provides useful information about the  
22 chromatinic state of the genes and reveal interesting biological insights about transcription regulation  
23 and up-regulated biological processes. This study can be the starting point for more ATAC-seq analysis  
24 studied in different cell lines, phases of the cell or pathologic circumstances in order to provide a  
25 general overview of the accessible chromatin regions, the transcriptional state of the cell and the  
26 epigenetic marks of DNA.

27 **1 Introduction**

28 The different levels of DNA compaction play a key role in the organization of DNA in the nucleus and  
29 allow fine regulation of gene expression. Depending on the degree of compaction, two types of  
30 chromatin are distinguished: heterochromatin, regions with transcriptionally inactive genes; and  
31 euchromatin, regions of less compact chromatin where gene expression does take place.

32 Of the levels of DNA organization, the nucleosome is the basic fundamental unit of compaction,  
33 consisting of the DNA strand coiled around a histone octamer made up of two copies of each of the  
34 four histone types (H2A, H2B, H3 and H4) (Albert et al., 2002). Regions of open chromatin show a  
35 lower nucleosome density or even nucleosome-free regions. In addition, active chromatin is marked  
36 by a specific combination of posttranslational modifications of core histone proteins (H3K27ac,  
37 H3K4me1 and H3K4me3) and by the presence of histone variants like H2A.Z and H3.3. In contrast,  
38 transcriptionally repressed genes are often organized within 'closed' chromatin domains, marked by  
39 different histone modifications (e.g. H3K27me3 and H3K9me3) (Siggens et al., 2014). The degree of  
40 variability in DNA and histone modifications observed between cell types is different for different  
41 genomic elements, such as promoters, enhancers, or insulators. Therefore, different cell types, as well  
42 as the signalling cascades that produce differentiated cells, are characterized by a unique chromatin  
43 signature. The distribution of these regions varies according to cell stage and cell type, giving the cell  
44 a specific phenotype that can be used as a fingerprint to characterize a cell at a given time, as well as  
45 the effects of extracellular signals and to compare the response to stress, pathological and physiological  
46 states.

47 Transposase-accessible chromatin assay by sequencing (ATAC-seq) is an alternative or  
48 complementary technique to MNase-seq, DNase-seq and FAIRE-seq for assaying chromatin  
49 accessibility. The results obtained from ATAC-seq are similar to those of DNase-seq and FAIRE-seq,  
50 however, ATAC-seq is gaining popularity because it does not require cross-linking, has a higher signal-  
51 to-noise ratio, requires a much smaller amount of biological material and is faster and easier to perform  
52 compared to other techniques (Yan et al., 2020). This technique involves the Tn5 hyper-reactive  
53 transposase to cut and integrate the adapters in the regions of accessible chromatin, while the chromatin  
54 in closed conformation will present steric hindrance making the insertion less probable. Therefore,  
55 amplifiable DNA fragments suitable for high-throughput sequencing are preferentially generated at  
56 locations of open chromatin (Buenrostro et al., 2013), such as promoters or enhancers. After processing  
57 ATAC-seq data, aligned reads return a pattern of peaks that represent the active regulatory regions in  
58 the genome. Though, ATAC-seq data have been widely used to identify regulatory elements, the  
59 analysis of the morphology of these peaks was poorly studied. ATAC-seq peaks in the same region of  
60 the genome, have been shown to vary in certain characteristics such as width, intensity, or number of  
61 peaks in different cell types or under different conditions. This suggests that analysis of the shape of  
62 the peaks can provide relevant information about the cellular regulome.

63 There are only two proper software that have been previously used for peak characterization based on  
64 the shape of ChIP-seq data. Those are SIC-ChIP (Shape Index Clustering for ChIP-seq peaks)  
65 (Cremona et al., 2015) and Fun-Chip. Given the scarce literature for the characterization of DNA  
66 regions from ATAC-seq studies based on peak morphology, in this study we have carried out the  
67 classification, analysis and annotation of the peaks generated by ATAC-seq performed in normal  
68 murine mammary gland epithelial cells (NMuMG) treated with TGF $\beta$  (Guerrero-Martinez et al., 2020),  
69 using the SIC-ChIP approach.

70 Topography is the science that studies the land shapes and forms of the surface, involves the recording  
71 of relief, the identification of specific landforms. This is also known as geomorphometry. By analogy  
72 to discipline, we can say that, in this study we have carried out a topographic analysis of the ATAC-  
73 seq peaks. This study revealed that morphological differences in peaks when classified according to  
74 five indices (height, area, width at half maximum height, number of local peaks and M-index) result in  
75 relevant biological information concerning chromatin signature and transcription factor binding motifs.  
76 Moreover, we developed a novel logistic regression model able to discriminate between regulatory  
77 elements based on ATAC-seq morphology indices.

## 78 2 Materials and Methods

### 79 2.1 Computational methods and statistical analysis.

80 Most of analyses were performed using R (v4.2.1), RStudio (v2021.09.0+351) and Bioconductor  
81 (v3.15). Data preparation and other specific analysis were performed using proper software within  
82 Ubuntu (20.04). Ggplot2 package was used for graphical representation.

### 83 2.2 Data acquisition

84 ATAC-seq paired-end data of NMuMG cells after 2 hours of TGF $\beta$  treatment was obtained from the  
85 ENA (European Nucleotide Archive) database with accession number SRR10485876 (Guerrero-  
86 Martinez et al., 2020).

87 ChIP-seq data for H3K4me1, H3K4me3 and H3K27ac histones marks were obtained from GEO under  
88 accession number: GSM4174040, GSM4174046, GSM4174034, respectively. The data correspond to  
89 NMuMG cells after TGF $\beta$  treatment from the same study.

90 JASPAR2022 vertebrate database was used to obtain transcription factor binding motifs information.

91 The list of transcription start sites was obtained from UCSC KnownGene annotation for mm9 mouse  
92 reference genome

### 93 2.3 Preprocessing of reads

94 ATAC-seq ENCODE pipeline ([https://github.com/kundajelab/atac\\_dnase\\_pipelines](https://github.com/kundajelab/atac_dnase_pipelines)) was used for  
95 ATAC-seq data alignment and peak calling, including pre and postanalysis steps like denoising and  
96 trimming of primers as well as quality control and statistical methods such as IDR for well conserved  
97 peaks selection between replicates. Alignment was fitted using mm9 mouse reference assembly. This  
98 analysis results in 39432 peaks.

99 GenomicAlignments package from Bioconductor was employed to generate signal files for ATAC-seq  
100 data. Three different signal files were obtained from the same ATAC-seq alignment after file, filtering  
101 sequencing fragments according to its length: ATAC (include all sequencing fragments), Open (include  
102 fragments with less than 100 bp, which correspond with fragments associated with Nucleosome  
103 Depleted fragments) and MonoNuc (include fragments with sizes in the range [180, 240 bp], which  
104 correspond with mononucleosomal fragments).

### 105 2.4 Clustering of regions

106 The peaks obtained were classified according to five indices corresponding to morphological  
107 characteristics of the peak: height, area, maximum width, number of local peaks and the M index. The  
108 clustering based on these indices was done using the SIC-ChIP pipeline  
109 (<https://github.com/marziacremona/SIC-ChIP>). Parameters related to the peak's indices were the same  
110 as in the original study.

111 To classify those peaks according to the five indices, k-means algorithm was employed obtaining ten  
112 different clusters for each different input: ATAC, Open, MonoNuc and a clustering using the combined  
113 indices for Nucleosome Depleted and Mononucleosomal fragments (Open-MonoNuc).

### 114 2.5 Clustering characterisation

115 ComplexHeatmaps R package used for generating heatmaps of shared regions, the heatmaps were  
116 scaled to rows and columns for each type of data input.

117 Histone modifications profiles were generated from intensity matrices obtained using ComputeMatrix  
118 from deeptools Suite (<https://deeptools.readthedocs.io/en/develop/content/tools/computeMatrix.html>).  
119 The intensity matrix was instructed to start in the centre point and extended 3 kb upstream and  
120 downstream using a bin size of 10 bp.

## 121 2.6 Enrichment of motives.

122 Motif search and enrichment for each of the clusters was carried out using the MEME suite tool, FIMO  
123 (<https://meme-suite.org/meme/doc/fimo.html>). For the enrichment analyses, scrambleFasta.pl from  
124 HOMER (<http://homer.ucsd.edu/homer/motif/fasta.html>) was used for obtaining 5x background  
125 sequences for each category.

126 Enrichment in each cluster was calculated as shown in the formula down below. Subsequently, a  
127 Fisher's test was performed to assign an enrichment  $p$ -value to each motif. In order to take the most  
128 significant motifs from each cluster, those with an enrichment greater than 1.5 and a  $p$ -value less than  
129 0.05 were filtered out.

$$130 \quad \text{Enrichment} = \frac{\frac{\text{Motifs found in cluster } i}{\text{Motifs found in total}}}{\frac{\text{Motifs}_{BG}^* \text{ found in cluster } i}{\text{Motifs}_{BG} \text{ found in total}}}$$

131

132 \*Motifs<sub>BG</sub>: Significant motives that appeared in the background sequences

133

134

## 135 2.7 Ontological analysis of motives

136 Clusters' genes associated to regions were classified according to their involvement in different  
137 cellular processes. This motives annotation was performed with the GREAT tool  
138 (<https://github.com/jokergoo/rGREAT>). The mode used was the basal plus. extension Further  
139 parameters for the analysis were adv\_upstream = 50 kb, adv\_downstream = 50 kb, adv\_span = 1000  
140 kb.

## 141 2.8 Prediction model based on binary logistic regression

142 A binary logistic prediction model to discriminate between regulatory elements was developed using  
143 a generalized linear model. For the construction of this model, the step validation and collinearity  
144 analysis were performed determining the 5 standardized indices were appropriate to use.

145 The model was subsequently validated both by the confusion matrix method and by ROC curves and  
146 AUC values, studying also the specificity and sensitivity.

$$147 \quad \text{Sensitivity} = \frac{\text{True positives}}{\text{True positives} + \text{False negatives}}$$

$$148 \quad \text{Specificity} = \frac{\text{True negatives}}{\text{True negatives} + \text{False positives}}$$

149

## 150 **3 Results and Discussions**

### 151 **3.1 General workflow**

152 The goal we tried to achieve is to characterize the peak regions based on their morphological indices.  
 153 To achieve that, we followed the workflow illustrated in Figure 1, where from the raw data we got the  
 154 .bed files filtered and cleaned which were used for clustering of the peaks using SIC-ChIP software.  
 155 Once we got the clusters, to identify the DNA elements and draw more relevant biological information  
 156 from them we first made sure the indices and clusters were correct, then we annotated the regions of  
 157 each cluster to identify the significant DNA elements that were part of them, studying histone marks,  
 158 most relevant motifs and biological processes involved. Finally, to achieve a better classification of  
 159 promoter and enhancer regions we developed a prediction model based on the five indices.

### 160 **3.2 Correlation between indices and identification of peaks**

161 Previously, SIC-ChIP software had already proven to be valid for reliable clustering of ChIP-seq peaks  
 162 according to their morphology (Cremona et al., 2015). In this work we studied if this software can be  
 163 used to classify ATAC-seq peaks. Of the five indices computed in SIC-ChIP, two of them are related  
 164 to peak morphological features and the other three to peak complexity, details of the indices are given  
 165 below.

166 The height (h) and area (A) of the peak are related to its signal strength, i.e. the number of reads the  
 167 region has. The maximum peak width ( $w_{h/2}$ ) is a measure also related to the signal strength; this  
 168 parameter consists of the width of the peak at half its maximum height.

169 The number of local peaks ( $p_{\text{local}}$ ) is a parameter that must be smoothed to avoid an oversaturated signal  
 170 of peaks, so it was estimated that to count the peak must be 50 nucleotides apart and that its difference  
 171 with respect to the two contiguous local minima must be at least 20%.

172 The M-index (M/h) is a noise resistant and smoothed, measure of the complexity of the peak. The  
 173 calculation of this index is based on counting the number of edges obtained by generating a tree of  
 174 rooted nodes associated to a peak. This tree is built based on a depth function that each nucleotide  $X_i$   
 175 has with respect to its previous nucleotide ( $X_{i-1}$ ). Three cases can occur, (1) when the function  
 176 decreases, we move towards the root to the parent of the current node, (2) when the function increases,  
 177 a new node is created and (3) when the function remains constant, nothing is done. To standardize this  
 178 index was divided by the maximum peak height since the index M depends on this parameter.

179 To classify peaks according to their morphology, three different type of signal data was used as input:  
 180 (1) data produced from all ATAC-seq sequencing fragments (ATAC), (2) from sequencing fragments  
 181 with length less than 100 bp, which correspond with Nucleosome Depleted Regions (Open) and (3)  
 182 from sequencing fragments with lengths in range [180-240] bp, that correspond with fragments  
 183 associated with mononucleosomes (MonoNuc). Finally, indices computed from Open and MonoNuc  
 184 signal files were combined to classify peaks according both indices together as the fourth type of input.  
 185 Results from ATAC signal is shown as main results, while the analyses from other signals was shown  
 186 as complementary analysis and will be shown as supplementary figures.

187 The indices studied showed varying degrees of correlation, being the most highly correlated area and  
188 height, as would intuitively be expected, while the rest of parameters showed a poorer correlation  
189 between them (Figure 2a), correlation that was consistent for the other types of input as well  
190 (Supplementary Figure 1). We also plotted the distribution of indices values for each cluster (Figure  
191 2b), which revealed that the clusters showed differences in the means of each of the indices studied.  
192 The largest differences are seen in the number of local peaks, being the height the index that shows the  
193 least differences between clusters. The distribution found in the vast majority of the clusters is around  
194 the means, with short outlier tails except in specific cases such as cluster 2 of the Open data  
195 (Supplementary Figure 2b), which has a wider distribution with larger tails of outliers, mainly due to  
196 the fact that cluster 2 has very few regions so that outliers have a strong impact on the distribution. As  
197 shown in the violin plots of Figure 2b from ATAC signal data the M-index and the local peaks were  
198 more unevenly distributed with less accuracy in the mean due to the higher variability even by using  
199 the standardized data, however, means still showed enough differences to be considered a distinctive  
200 signature of each cluster.

201 Peaks of each of cluster were inspected in IGV (Integrative Genomics Viewer) to assess correct  
202 clustering and some of the most representative ones of ATAC signal data are shown in Figure 3, while  
203 the rest of the inputs' clusters are shown on Supplementary Figure 3 showing that the shape of the peak  
204 was different depending on the type of input. All these data suggest that proposed indices are proved  
205 to be valid for a correct clustering of ATAC, Open, MonoNuc and combined Open-MonoNuc signal  
206 data.

### 207 3.3 Characterization of clusters

208 To study the correspondence between clusters identified with the 4 different kinds of clustering  
209 according to its input data, we plotted the percentage of overlapping of peaks between clusters (Figure  
210 4a, Supplementary figure 4). Although a certain correspondence can be seen, heatmaps shown in Figure  
211 4a revealed that there is no direct correspondence between the peaks of each of the clusters, showing  
212 that clusters identified in different clustering are distinct depending on which kind of input data to use.  
213 It is also noticeable that the composition of each cluster is heterogeneous in terms of their percentage  
214 of promoters and enhancers, finding clusters such as 1 and 5 (of the clustering performed with ATAC  
215 data) where almost 75% are promoters while others such as clusters 3 and 4 do not reach 10% (Figure  
216 4b). This diversity in composition also occurred for the rest of the input data (Supplementary Figure  
217 5), there was not a cluster in particular that remained consistently enriched in promoters or enhancers  
218 in all the 4 inputs of data.

219 On the heatmaps can be seen how there is a decent overlap of regions between certain clusters like  
220 cluster 1 of ATAC and cluster 5 of Open signal data, when attending to their composition of enhancers  
221 and promoters both clusters have a high percentage of promoter regions. This correlation can also be  
222 seen with the clusters 3 and 4 of ATAC and cluster 2 of Open signal data which have a high overlap  
223 of regions, in this case the three clusters are very poor on promoter regions.

224 Then, we wanted to study the chromatin signature of the different clusters. To achieve this, we studied  
225 the distribution of three different histone modifications (H3K4me1, H3K4me3 and H3K27ac) along  
226 with ATAC-seq reads distribution. In Figure 5a we plotted the shape of the peaks of the clusters. The  
227 appreciated topography of the clusters remained simple with one or two peaks varying in height and  
228 area. For example, the peaks of ATAC clusters' 1 and 10 are high and narrow with only one peak,  
229 while clusters 3, 4, 7 and 8 are generally shorter with very reduced area; peaks of clusters 2 and 9 are  
230 wider with two peaks. These different shapes are a good indication that the DNA regions sorted



231 according to the proposed indices may be sufficient to achieve a good separation of the regions,  
 232 providing critical information on the functions they play in cell regulation.

233 The H3K4me3 histone modification is generally restricted to narrow regions at the 5-terminus of the  
 234 gene body (promoters), although a small subset of genes has a broad H3K4me3 domain that covers the  
 235 majority of the coding region (Cao et al., 2017). Genes tagged with the broad epigenetic domain contain  
 236 a number of epigenetic modifications complementary to trimethylation such as H3K27ac. These genes  
 237 are thought to be involved in essential cellular identity and functions and have clinical potential as  
 238 biomarkers for patient stratification (Beacon et al., 2021). In this study it can be seen that those clusters  
 239 with high signal intensity for H3K4 trimethylation also correspond to high signal for lysine 27  
 240 acetylation and could therefore be these domain wide regions (Figure 5c-d). However further studies  
 241 on other characteristic histone marks such as H4K12ac, H4K20me1, H2BK5me and H4R3me2a  
 242 (Beacon et al., 2020) and ontology studies are needed to determine the cellular functions of these genes.

243 Another important aspect to highlight is the H3K4me1/H3K4me3 ratio. It is known that high presence  
 244 of H3K4me1 is a signal of enhancers, whereas a high presence of H3K4me3 is related to promoters  
 245 (Soldi et al., 2017). According to the intensity plots, all clusters show a high monomethylation signal,  
 246 however clusters 3, 4, 6, and 7 show the highest K4me1/K4me3 ratio since their level of trimethylation  
 247 is the lowest. This corresponds to the low percentage of promoters in these clusters being 5%, 7%,  
 248 30%, and 11% respectively, meaning they are highly enriched in enhancers. Furthermore, H3K27ac is  
 249 a typical mark of active enhancers. Since clusters 3, 4 and 7 have low level of H3K27ac, it is possible  
 250 that these enhancers are in a not fully active configuration. Enhancers that present H3K4me1 but not  
 251 H3K27ac are often called poised or primed (Crispatzu et al., 2021). Therefore, it is possible that these  
 252 three clusters are enriched in poised enhancers. This relation was consistent for the other 3 types of  
 253 inputs as it can be seen in the Supplementary figures 6-8.

254 In conclusion these results show that peaks clustering based on their morphology allow a general  
 255 distinction of the regulator regions according to their nature, observing clusters with a high percentage  
 256 of enhancers with high H3K4me1/H3K4me3 ratio, while those clusters enriched in promoters have a  
 257 low H3K4me1/H3K4me3 ratio.

### 258 **3.4 Search and enrichment of motifs**

259 Then we asked if clusters with different morphology are enriched in motifs of specific transcription  
 260 factors. Prior to performing the enrichment analysis, a series of random sequences of similar  
 261 composition to our study sequences, known as background sequences, were generated to ensure that  
 262 the motif classification was consistent and to avoid biases due to the A-T and C-G composition of the  
 263 sequences.

264 To achieve this, we computed the enrichment of transcription factor binding motifs found in JASPAR  
 265 vertebrate database for each cluster against the rest of the clusters. Most significant motifs for each  
 266 cluster are shown in Figure 6.

267 It is remarkable the correlation between the TFs that appear on clusters and their composition of  
 268 enhancers or promoters, for example in clusters mainly dominated by promoter regions (cluster 1 and  
 269 5) we find NF-YA and NF-YB two subunits of the NF-Y protein which is known for binding directly  
 270 on the CCAAT-box of promoters (Mantovani, 1999). and E2F family proteins that binds to the  
 271 TTTCCCGC site in the target promoter sequence and is highly involve in cell proliferation due to its  
 272 role in the control of the transition from phase G1 to S (Gaubatz et al., 2000). On Figure 6 of ATAC  
 273 data, another group of factors that had high significance in both enrichment and p-value were Sox2,

274 Smad4 and TEAD3 of clusters 3 and 4. All involved in cell proliferation, differentiation, and  
 275 maturation, Sox2 participating in the maintenance of the pluripotent form of embryonic cells, Smad4  
 276 being an important transcription factor in the TGF $\beta$  signalling pathway and TEAD3 being a key factor  
 277 regulating epithelial cell maturation (Adachi et al., 2010; Zhao et al., 2018; Li et al., 2020). All these  
 278 factors are typically enhancer binding proteins.

279 The motif enrichment analysis on the other inputs showed some relevant ones such as the case of the  
 280 transcription factor CTCF which is highly represented in cluster 2 of the mononucleosomes data  
 281 (Supplementary Figure 9b) and present in other clusters. This factor consists of 11 highly conserved  
 282 zinc fingers with which it can bind to multiple regions of the genome. One of the unique functions of  
 283 CTCF is its insulator function. Insulators are short nucleotide sequences that establish boundaries  
 284 between nearby genomic domains. When CTCF binds to an insulator sequence, it prevents  
 285 communication between an enhancer and a gene promoter by blocking gene transcription (Kim et al.,  
 286 2015). It also plays a key role in the 3D organization of the genome and the maintenance of  
 287 topologically associated DNA domains (TADs) by maintaining the structure of the loops as two of  
 288 these proteins interact with each other to isolate segments of the genome, thus favouring the  
 289 connections within the domain itself and allowing for a more fine-grained regulation of the domain  
 290 (Ghirlando & Felsenfeld, 2016).

291 Analysis revealed cis-regulatory logic through known motifs (e.g., AP-1, ETV, ZNF and ELK sites)  
 292 and less common ones (e.g., CTCF, Tead and NF1). Many DNA-binding transcription factors, which  
 293 recognise these cis-motifs, are markedly up-regulated. However, the clustering did not allow a fine and  
 294 clear separation of the motives from each cluster only providing information about the most the general  
 295 cis or trans regulation of them.

### 296 **3.5 Ontology analysis**

297 Since clusters have shown to have distinctive characteristics and different motives associated to genes,  
 298 we carried out an ontological analysis in hope to find if the genes associated to the regions of each  
 299 cluster were involved in similar biological processes. To achieve that we performed the annotation  
 300 using the rGREAT tool with the basal plus extension mode in which each gene is assigned a basal  
 301 regulatory domain of a minimum distance upstream and downstream of the TSS (regardless of other  
 302 nearby genes). The gene regulatory domain is extended in both directions to the nearest gene's basal  
 303 domain but no more than the maximum extension in one direction.

304 Subsequently, also with the GREAT tool, the genes associated to the peaks were annotated and  
 305 classified according to their annotation in Gene Ontology (GO) of biological process, obtaining  
 306 different processes among the clusters, but at the same time the processes found within clusters were  
 307 more related to each other. As shown in Supplementary Table 1, clusters 1, 4 or 5 of the ATAC-seq  
 308 data are involved in different biological processes, while cluster 1 is very significantly enriched in  
 309 regions associated with genes related to the regulation of RNA metabolism, DNA repair and chromatin  
 310 accessibility, cluster 4 has regions mostly related to cell migration and angiogenesis, and cluster 5  
 311 seems to contain genes involved in protein maturation and modification in the endoplasmic reticulum  
 312 and Golgi (See Supplementary Tables 1-4).

313 This ontology analysis revealed interesting biological insights about the morphological based clustered  
 314 regions. Showing how the shape of the peak can be related with the biological functions of the genes  
 315 associated to the DNA regions.

### 316 3.6 Logistic regression model

317 An important part of the peaks analysis is to determine the nature of the regions in order to find more  
318 about their role in the regulation of DNA expression. That is why we developed a binary logistic  
319 regression model with the standardized data of the five morphological indices to determine on the basis  
320 of the morphological indices of the peak whether the region is considered a promoter or enhancer. The  
321 variables were first evaluated by a Step test and a collinearity analysis to determine if they would  
322 provide enough significant information to the model.

323 The five indices were analyzed to see how promoters and enhancers were distributed (Figure 7a), with  
324 the former having a higher mean for all indices as well as a higher range and standard deviation,  
325 although this is again due to the fact that fewer outliers have a greater impact on the distribution. Once  
326 again, this distribution was consistent in the four types of inputs (Supplementary figures 10-12a).

327 The model was evaluated, and it proved to be very robust according to the tests performed. A high  
328 accuracy rate was obtained for promoters (>70%) and even better for enhancers (>85%). This better  
329 classification of the enhancers is mainly due to their higher abundance. The model was also validated  
330 via Roc Curves method, achieving as well good results of confidence since the area under the curve  
331 was above 0.80 in all the four types of data (Figure 7b, c; Supplementary figures 10-12b).

332 While clustering itself did not allow a separation of the promoter and enhancer regions of the peaks,  
333 this model involving the morphological indices did help to clarify the differences between the two gene  
334 elements with a high accuracy rate, making it interesting for mapping promoter enriched or enhancer  
335 enriched domains in the genome. On Figure 7d we show the comparison of percentages of promoters'  
336 regions of each cluster versus the percentage we got from the predicted model seeing that the  
337 predictions are very close to the true values. It is also interesting evaluate some of the peaks that are  
338 not predicted correctly. For example, some enhancers act also as promoters of lncRNA (Lam et al.,  
339 2014). Therefore, it is possible that some peaks annotated as enhancers but that our model predicts as  
340 promoters have a hybrid function.

## 341 4 Conclusions

342 The classification and characterization of genome regions based on the characteristics of the peaks  
343 generated in ChIP-seq studies has been validated on many occasions and it has been shown that the  
344 characteristic morphology of each peak can provide relevant biologically meaningful information. In  
345 this analysis we have shown how this classification method is also applicable to ATAC-seq data. The  
346 clusters obtained all presented a distinctive signature in terms of their distribution and the overlap was  
347 minimal. Furthermore, thanks to the study of histone marks, it was possible to characterize how each  
348 cluster had a characteristic distribution with a biological meaning in relation to the percentage of  
349 promoters and enhancers, which was later contrasted and corroborated by both the literature and the  
350 analysis of the motifs. However, the separation by clusters does not allow a reliable separation of the  
351 function of the genes under distinctive ontological terms, nor does it allow a direct determination of  
352 which regions are promoters and which are enhancers. For this reason, the binary logistic regression  
353 model was developed to provide more information about the composition of each cluster. Further  
354 analysis can be performed to enrich this information such as enhancer RNA analysis or including more  
355 parameters into the logistic model to refine it and enhance its predictive power making it able to identify  
356 other elements such as insulators or poised enhancers. Overall, the results we got are a very interesting  
357 starting point, revealing general information of the nature of the DNA elements studied, for more  
358 analysis to be performed using this clustering method for ATAC-seq data.

## 359 **5 Conflict of Interest**

360 The authors declare that the research was conducted in the absence of any commercial or financial  
361 relationships that could be construed as a potential conflict of interest.

## 362 **6 Author Contributions**

363 JCRR and JAGM provided the data and planned out the experiments, JAGM contributed with the  
364 code and general idea of analysis, JAGM and ARM carried out the computational analysis, JCRR,  
365 JAGM and ARM evaluated and checked the results, ARM wrote the final version of the manuscript,  
366 JCRR, JAGM supervised the manuscript.

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372 patience.

## 373 **9 Data and Code Availability Statement**

374

375 Data can be found as stated at Data Acquisition segment publicly accessible. ATAC-seq reads can be  
376 found in ENA's database (<https://www.ebi.ac.uk/ena/browser/view/SRR104858769>). Data from the  
377 histone marks can be found in geo's repository (<https://www.ncbi.nlm.nih.gov/geo/>) under the  
378 correspondent accession numbers clarified before. Motif list was taken from JASPAR's database  
379 (<https://jaspar.genereg.net/downloads/>).

380 The ENCODE pipeline to process ATAC-seq data can be found in  
381 ([https://github.com/kundajelab/atac\\_dnase\\_pipelines](https://github.com/kundajelab/atac_dnase_pipelines)). The software to perform the clustering of  
382 regions was done following SIC-ChIP pipeline (<https://github.com/marziacremona/SIC-ChIP>). The  
383 rest of the code to perform the analysis can be found publicly posted on GitHub  
384 ([https://github.com/AlbertoRiMu/TFM\\_ARM\\_ATAC-seq-Analysis.git](https://github.com/AlbertoRiMu/TFM_ARM_ATAC-seq-Analysis.git)).

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## 442 **Figure Legends.**

443 **Figure 1.** Diagram of the workflow followed for the analysis of the ATAC-seq peaks clusters' indices,  
 444 annotation of the clusters' peaks and elaboration of the predictive model. Packages and pipelines  
 445 specified on the graph next to each step

446 **Figure 2.** a) Scatter plot representing the Pearson correlation between each of the 5 indices for the  
 447 ATAC standardized data. Indices names: h, peak height; A, peak area;  $w_{h/2}$ , maximum peak width;  
 448  $p_{local}$ , number of local peaks; M/h, M-index normalized to h. b) Violin plot representing the  
 449 distributions of each of the ATAC clusters in each of the indices.

450 **Figure 3** Regions of significant peaks in each of the clusters of the ATAC data taken from IGV. Peaks  
 451 were taken with a window size of 48 kb, each of the clusters were aligned with the complete genome  
 452 ATAC-seq data.

453 **Figure 4.** a) Heatmaps summarizing the number of overlapping regions of each of the ATAC signal  
 454 data clusters with those of open chromatin (Open), mononucleosome regions (MonoNuc) and the  
 455 combination of both (Open MonoNuc). The heatmaps are scaled respect to the number of elements of  
 456 each of ATAC clusters. The summatory of each row represents the size of each ATAC cluster and the  
 457 summatory of each column represents the size of each cluster for the other three input data. b)  
 458 Percentage of the clusters that are identified as promoter regions for the ATAC data based on the list  
 459 of TSS regions file.

460 **Figure 5.** Plots representing the distribution of the corresponding marks of the ATAC data. The  
 461 intensity of each bin is plotted at a central point of the cluster regions and spread 3kb in each direction.  
 462 a) Distribution of the ATAC signal data reads over the different clusters. b) Distribution of the  
 463 H3K4me1 histone mark, c) Distribution of the H3K4me3 histone mark, d) Distribution of the H3K27ac  
 464 histone mark.

465 **Figure 6.** Profile of the transcription factors associated to the most significant motifs found in the  
 466 analysis for each cluster of the ATAC data. The transcription factors shown are filtered by enrichment  
 467 and p-value (Enr > 1.5, p-value < 0.05).

468 **Figure 7** Relevant information and graphics about the prediction model of ATAC signal data. a)  
 469 Distribution of the five morphological indices depending on the nature of the classified region promoter

470 or enhancer. b) Representation of the area under the curve evaluation method. ROC Curve representing  
471 sensibility over. c) Confusion matrix for model validation predictions being on the x axis and  
472 observations on y axis. d) Percentaje comparing the promoter regions predicted by the model for each  
473 cluster versus the true percentaje of true promoter regions of each cluster.

474 **Table headers**

475 **Supplementary table 1-4.** Enrichment tables summarizing the most relevant Gene Ontology  
476 biological processes of the genes associated to the regions of each of the clusters for the four types of  
477 input data, in order being 1) ATAC, 2) Open, 3) MonoNuc, 4) Open MonoNuc. Tables were obtained  
478 using the rGREAT annotation analysis, sorted by binom and HypeRank q-value in excel and taken the  
479 first 20 most significant processes.